



Docket No.: 211/219

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Guido Cimino et al.
PCT International Application No.	:	PCT/GB00/03489
U.S. Serial Number	:	10/070,561
PCT International Filing Date	:	September 11, 2000
Title	:	NEW ACTIVE MARINE ALKALOIDS
Examiner	:	[Not Yet Assigned]
Group Art Unit	:	1614

TECH CENTER 1600/2900

OCT 29 2002

RECEIVED

**COMMUNICATION REQUESTING  
CORRECTION OF OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

This Communication is submitted to request correction of the Official Filing Receipt issued in the above-identified application on August 16, 2002. A copy of that Filing Receipt, with the changes noted thereon, is attached hereto.

The Official Filing Receipt omits the word "New" from the title of this application, which should read "New Active Marine Alkaloids." Support for this statement can be found in the Declaration and Power of Attorney documents concurrently filed on July 25,

2002 (copies attached).

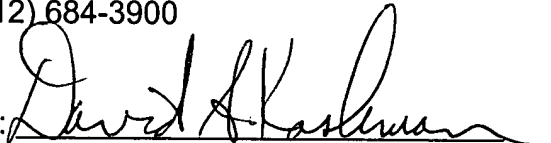
In view of the foregoing, applicants, through their undersigned counsel, respectfully request the issuance of a new and corrected Filing Receipt for this patent application.

No fee is deemed necessary in connection with the filing of this Communication. If any fee is required, authorization is hereby given to charge the amount of any such fee to the Deposit Account No. 07-1730, Docket No. 211/219. A duplicate copy of this Communication is attached for this purpose.

Respectfully submitted,

GOTTLIEB, RACKMAN & REISMAN  
Attorneys for Applicants  
270 Madison Avenue  
New York, New York 10016-0601  
(212) 684-3900

By:



David S. Kashman  
(Registration No. 28,725)

Dated: New York, New York  
September 30, 2002

# **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on:

Date of Deposit September 30, 2002

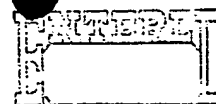
David S. Kashman; Reg. No. 28,725  
(Name of applicant, assignor or Registered Representative)

David S. Kashman  
(Signature)

September 30, 2002  
(Date of Signature)



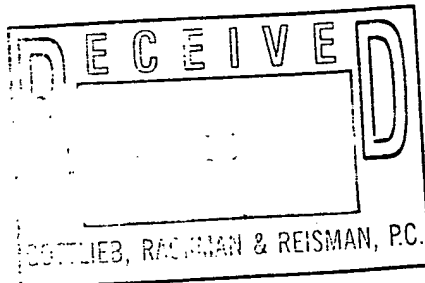
## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/070,561	07/25/2002	1614	1188	211-219		6	1

Gottlieb Rackman & Reisman  
270 Madison Avenue  
New York, NY 10016-0601



CONFIRMATION NO. 7038

## FILING RECEIPT



\*OC00000008633571\*

Date Mailed: 08/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Dolores Garcia Gravalos, Madrid, SPAIN;  
Guido Cimino, Arco Felice, ITALY;  
Angelo Fontana, Arco Felice, ITALY;  
Solimabi Wahidulla, Goa, INDIA;  
Chandrakant Govind Naik, Goa, INDIA;

RECEIVED  
OCT 29 2002  
TECH CENTER 1600/2900

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/GB00/03489 09/11/2000

## Foreign Applications

UNITED KINGDOM 9921477.7 09/10/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

## Title

NEW Active marine alkaloids

Preliminary Class

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).